

## United States Patent and Trademark Office

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Alexandria, Virginia 22313-1450
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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/809,928

03/26/2004

Yuki Iseki

7372/80998

Kendrew H. Colton Fitch, Even, Tabin & Flannery Suite 401L 1801 K Street, N.W. Washington, DC 20006-1201

CONFIRMATION NO. 6764 **FORMALITIES LETTER** \*OC000000012881644\*

Date Mailed: 06/07/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 SZEWDIE1 00000086 061135 10809928

01 FC:1001 02 FC:1051 03 FC:1203 770.00 DA

FILED UNDER 37 CFR 1.53(b)

130.00 DA 290.00 DA

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$290 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1190 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$290
  - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/809,928

Confirmation No.: 6764

**Applicant** 

ISEKI et al.

Filed: TC/A.U. March 26, 2004 To Be Assigned

Examiner

To Be Assigned

Docket No.

7372/80998

Customer No.:

42798 (WDC) and 22242 (CHICAGO)

Commissioner for Patents U.S. Patent and Trademark Office 220 20th Street, South Customer Window, Mail Stop Missing Parts Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

August 6, 2004

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In response to the Notification of Missing Requirements of Application dated April 30, 2004.

The items checked below are appropriate:

#### 1. Status of Applicant

This application is on behalf of  $\blacksquare$  other than a small entity or  $\square$  a small entity.

The verified statement □ is attached or □ was filed on

#### 2. **Documents Submitted Herewith**

- Executed Declaration of inventor(s).
- Verified Statement claiming small entity status.
- Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- Verified English translation of application.
- Copy of the Notice to File Missing Parts of Application.
- Other:

### 3. Application Filing Fee

APPLICATION FEES					
Basic Fee				\$7	70.00
CLAIMS	Number Filed	Number Extra	RATE		_
Total Claims	4 - 20 =	0	x \$18.00	\$	0.00
Independent Claims	2 - 3=	0	x \$86.00	\$	0.00
■ Multiple Dependent Claims(s) if applicable +\$290.00				\$290.00	
Total of above calculations =					
Reduction by 50% for filing by small entity =				\$(	)
TOTAL =				\$1,	060.00

## 4. Fee for Late Filing of Missing Parts

Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for  $\blacksquare$  other than a small entity or  $\square$  a small entity.

Fee Due: \$130.00

## 5. Fee for Submitting a Non-English Specification

Pursuant to 37 C.F.R. § 1.17(k), the surcharge for submitting a non-English specification is included.

Fee Due: \$

### 6. Extension of Time

- □ Applicant petitions for a extension of time under 37 C.F.R. § 1.136, the fee for which is .
- Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Extension fee due with this request: \$

### 7. Total Fee Due

The total fee due is:

Surcharge \$130.00
Translation Fee (if any) \$
Application Filing Fee (if any) \$1,060.00

Extension Fee (if any) \$

**Total Fee Due: \$1,190.00** 

U.S. Appl. No. 10/809,928 Reply to Notification dated June 7, 2004

## 8. Fee Payment

- ☐ Attached is a check in the sum of \$
- Charge Account No. 06-1135 the sum of \$1,190.00, under Order No. 7372/80998. A duplicate of this transmittal is attached.

## 9. Fee Deficiency

■ If any additional fee is required in connection with this communication, charge Account No. 06-1135. A duplicate of this transmittal is attached.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Kendrew H. Colton

Registration No. 30,368

Fitch, Even, Tabin & Flannery 1801 K Street, N.W. Suite 401L Washington, D.C. 20006-1201 Telephone No. (202) 419-7000 Facsimile No. (202) 419-7007